# Da Vinci Partners LLC US and European Patent and Trademark Specialists

### **GENERAL FEE SCHEDULE IN CHF**

#### Effective from March 1st, 2021

**Note**: Unless informed otherwise, it is assumed that for clients not residing in Switzerland, TVA will not be charged. The client nevertheless assumes all responsibility for paying any TVA due, should the conditions required for exemption from TVA not be met.

US PATENTS	Fee for services 1) 2) 4) CHF	Approximate Expenses CHF	TOTAL 3) CHF Approx.
Performing a minimal preliminary patent search of US and European online search databases, and preparing a patentability opinion.	2000	175 in patent copies	2175
Performing a preliminary prior art search (patent and non-patent) using the Swiss patent office services and preparing a patentability opinion.	4400 (but varies, depending on technological field)	175 in patent copies	4575
Preparation and filing a provisional (US) patent applicationclass A (based on the English language technical disclosure provided by the client) the application is prepared with a view toward filing of regular US patent application.	2650-7000	152* in filing fee	2802- 7152
Preparation and filing a Regular US patent application (not necessarily including drawing preparation).	7000-17'000 (depends largely on complexity)	1720*	8720 - 18720
Preparation and filing of a US national stage application from a PCT application, in the English language (based on a high quality basic prior English language application, modifying style of claims but otherwise filed essentially as is, not including supplemental fees for excess length, claims over 20, over 3 independent claims).	1500-2800	1580	3080- 4380

<sup>\*</sup> For small entities, patent fees are reduced by 50%. For micro entities including educational institutions, fees are reduced by 75%, provided that the necessary proofs are filed.

INTERNATIONAL PATENT APPLICATIONS	Fee for services 1) 2) 4) CHF	Approximate Expenses CHF	TOTAL 3) CHF Approx.
Preparation and filing of a PCT application in the English language (based on a high quality basic prior English language application, modifying style of claims but otherwise filed	3000	3400	6400

essentially as is, not including supplemental		
fees for excess length, etc).		

EUROPEAN PATENTS	Fee for services 1) 2)	Approximate Expenses Euro	TOTAL <sup>3)</sup> CHF or Euro Approx.
I. Preparation and filing of a direct European application in the English language (based on a high quality basic prior English language application modifying style of claims but otherwise, filed essentially as is), paying filing, search fees, extra fees for excess length, etc. For drafting the application, our hourly rate applies.	2750	1500 + 16 x excess page	CHF 2750 plus EUR 1500
II. Preparation and filing of a Euro-PCT (regional stage) application in the English language (based on a high quality basic prior English language PCT application modifying style of claims but otherwise, filed essentially as is), paying filing, search, designations, examination fees, extra fees for excess length, etc. For modifications or drafting, our hourly rate applies.	2800	2700 depending on number of claims, extra pages, chosen additional non- contracting European countries, search report issued by the EPO, IPER by	CHF 2800 plus EUR 2700
OFFICIAL FEE BREAKDOWN:  1- filing fee EUR 125 (additional fee from the 36th page; EUR 16 x excess page)		125 + 16 x excess page	
2- where the international search report was established by the EPO, no search fee is due, otherwise, EUR 1350		0 / 1350	
3- designation fee EUR 610		610	
<ul> <li>can be extended to some non- contracting European countries by paying an additional fee (EUR 102 for each country)</li> </ul>		102 x	
4- examination fee (with/without suppl. European search)		1900/1700	
<ul> <li>if an IPER has been drawn up by the EPO, the examination fee is reduced by 75%, i.e., to EUR 475, instead of EUR 1900</li> </ul>		475	
5- claims fee starting from the 16th claim; EUR 245 x excess claims		245 x excess claims	

6- renewal fee for the 3rd year starting	490	
from the international filing date EUR		
490		

SWISS AND LIECHTENSTEINER PATENTS	Fee for services 1) 2) 4) CHF	Approximate Expenses CHF	TOTAL 3) CHF Approx.
I. Preparation and filing of a direct Swiss or Liechtensteiner application already translated in the German, French or Italian language, paying filing, search fees, extra fees for excess length, etc. For drafting the application, our hourly rate applies.	(800 if provided in German or French ready for filing)	200 filing fee 50 for the eleventh patent claim and each subsequent patent claim Optional: 500 search fee 500 examination fee (+ 200 for accelerated examination)	Excluding any potential fee for claims and the optional fees
II. Preparation and filing of a Swiss or Liechtensteiner national stage application in the German, French or Italian language (based on a high quality basic prior English language PCT application modifying style of claims but otherwise, filed essentially as is), paying filing, search, designations, examination fees, extra fees for excess length, etc. For modifications or drafting, our hourly rate applies.	(if no more than 12 pages of text)	200 filing fee 50 for the eleventh patent claim and each subsequent patent claim Optional: 500 search fee 500 examination fee (+ 200 for accelerated examination)	3000 Excluding any potential fee for claims and the optional fees

GENERAL SERVICES RELATED TO PATENTS	Attorney fees 1) 2) 4) CHF	Costs CHF	TOTAL 3)
Reply and follow up to Patent Office Official Action letters (amendments to Patent applications, etc).	400- 500/hour, variable, for attorney-at- law services. However, 600/hour charged for most senior collaborator where judicious	At cost plus 25% (at cost where adequate retainer paid; for established clients, at cost plus 10%)	Time dependent
Opposition and nullity procedures, IP litigation and litigation advice, strategy.	Typically, 400-600/hour for attorney	Same as above	Time dependent

	at law services.		
Preparation of non-infringement opinions (US/EP Patents).	Typically, 400-600/hour for attorney- at-law services.	Same as above	Time dependent
All other general technical, non-legal, patent-related services.	200- 400/hour, variable	Same as above	Time dependent
All other legal services.	400- 600/hour, variable	Same as above	Time dependent

IP VALUATION	Fee for services 1) 2) 4) CHF	Approximate Expenses CHF	TOTAL <sup>3)</sup> CHF Approx.
Assessment of the economic value of patent rights.	400-500/hour or set fee arrangement 5000-20,000 (depends largely on industry and complexity)	485-1954	Time dependent
Assessment of the economic value of products and technologies.	400-500/hour or set fee arrangement 5000-30,000 (depends largely on industry and complexity)	485-1954	Time dependent
Search of comparable transactions for the purpose of establishing royalty rates and other license conditions.	1500-2500	485-1954	1985-4454

VALUE REALIZATION SERVICES	Attorney fees 1) 2) 4) CHF	Costs CHF	TOTAL 3) CHF
Design and documentation of business and license models.	400- 500/hour, variable	At cost plus 25%  (At cost where adequate retainer paid. For established clients, at cost plus 10%))	Time dependent

Building of financial models (NPV, IRR, etc) and probability-weighted scenarios.	400- 500/hour, variable	At cost plus 25% (for established clients, at cost plus 10%)	Time dependent
Preparation of contractual arrangements: NDA, license, sales, joint-venture, technology transfer, etc.	400- 500/hour, variable	At cost plus 25% (for established clients, at cost plus 10%)	Time dependent
Preliminary study of target markets, risk assessment and identifications of barriers to entry.	Ask for pricing	At cost plus 25% (for established clients, at cost plus 10%)	Ask for pricing
Preparation of action plans.	Ask for pricing	At cost plus 25% (for established clients, at cost plus 10%)	Ask for pricing
Identification and presentation of target business partners, licensees, customers.	Ask for pricing	At cost plus 25% (for established clients, at cost plus 10%)	Ask for pricing

TRADEMARKS	Fee for services 1) 2)	Approximate Expenses	TOTAL
TRADEFIARRS	CHF	CHF, EUR, USD, AUD	CHF Approx.
Preparation and filing of a <b>Swiss</b> trademark application (3 classes).	1250	CHF 550 filing fee	1800 +100 (per class in excess of three)
Preparation and filing of a <b>Liechtensteiner</b> trademark application (3 classes).	1250	CHF 400 filing fee	1650 +50 (per class in excess of three)
Preparation and filing of a <b>European Union</b> trademark application (3 classes).	1250	EUR 1050	2529 (+ EUR 150 (per class in excess of three)
Preparation and filing of a <b>US</b> trademark application (1 class).	1000	USD 350 per class	1353
Preparation and filing of an <b>Australian</b> Trademark application (1 class).	1000	AUD 400 per class	1315
Preparation and filing of an <b>International</b> Trademark application.	2350	CHF 1003-3000 or more, depending on the amount of classes, colour	3353 - 5450

and designated	
countries	

TRADEMARK SERVICES IN GENERAL	Attorney fees 1) 2) 4) CHF	Costs CHF	TOTAL 3) CHF
Performing a clearance search.	Ask for pricing		Ask for pricing
Preparation of amendments to Trademark Office responses.	400-500/hour, variable, for attorney-at-law services		
Preparation of trademark non-infringement opinions and other advice letters.	Typically, 400- 600/hour	195-1466+ (order certified copies, court documents, etc)	Time dependent
Oppositions and cancellations, trademark litigation.	Typically, 400- 600/hour		Time dependent
Preparation, Review and/or comments on license agreements.	Typically, 400- 500/hour. However, 600/hour charged for most senior collaborator where judicious		Time dependent
All other general trademark-related services.	400-500/hour, variable	At cost plus 25% (for established clients, at cost plus 10%)	Time dependent

DESIGN PATENTS /MODELS  Type of intervention, assuming an advance at least for the amount of the fees	Fee for services 1) CHF	Approximate Expenses CHF	TOTAL <sup>3)</sup> CHF Approx.
Preparation and filing of a US design patent application, including 4 drawing sheets of moderate complexity.	1350, filing only	760	2110

Preparation and filing of a Swiss or Liechtensteiner design application (does not include drawing preparation).	750, filing only	300+ (dependent on the number of designs)	1050
Preparation and filing of an International (Hague Agreement) design patent application (does not include drawing preparation).	750, filing only	1200+* Dependent on the number of countries selected.	1950*
Preparation and filing of a EUIPO design (does not include drawing preparation).	750, filing only	350 Euro  * Dependent on the number of designs, and whether publication is to be deferred	Additional fees for more designs (approx. 160CHF per each), Deferment of publication 50CHF

COPYRIGHT APPLICATIONS	Fee for services 1) 2) 4) CHF	Approximate Expenses CHF	TOTAL <sup>3)</sup> CHF Approx.
Preparation and filing of a US copyright application.	300-850, depending on type	49	349-899
Preparation of responses, filing appeals.	400-500/hour	500 in appeal fees	Time dependent

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## Footnotes:

**Expenses, retainer fees and paralegal work.** In order to reduce costs to our clients, paralegals and assistants help the attorneys perform their tasks. Their time spent on a particular task associated with a client file is billed at a rate between 175 and 200 CHF/hour. Patent Engineers bill more per hour, depending on experience. Attorney travel time in which we are not able to work is billed at 350 CHF/hour, although we attempt to put our travel time to good use for our clients. If the intervention permits our taking a train, we bill for train travel to the nearest major train station to the client's location only to the extent that we are able to work on the client's behalf en route. All expenses are added to our invoices, together with an administrative charge of 25% (10% for established clients). Prior to performing any significant work, new clients will be expected to pay and maintain a retainer/provision of typically 5000 CHF for services (depending on the costs we may incur on the client's behalf—we will then bill any expenses up to the amount of the advance at cost). We reserve the right to request that any official filing fees over 800 CHF be paid in advance. Further, our minimum billing increment is 0.2 hours. All

In urgent work performed at a surcharge of 25%

2) fees may vary due to extraordinary requests and currency exchange rates

3) for new and non-Swiss clients, an advance payment may be requested

4) Da Vinci Partners LLC's normal hourly rates vary from 175 to 600 CHF/hour, where attorneys-at-law bill between 400-600/hour. The above estimated total costs for certain types of services/interventions are normally billed in these amounts stated below. However, upon request and where prior agreement has been reached, startup clients may benefit from a hourly rate of 390 CHF for technical patent services and IP valuation services for the first year of our working relationship. After this first year, Da Vinci Partners LLC reserves the right to adjust its rates to above rates or market conditions rates to above rates or market conditions.

#### CONFIDENTIAL INFORMATION

actions in which we intervene on your behalf are billable, such as telephone consultations, preparation for meetings and office visits. All costs are billed to the client. Postage and copies at cost, and at 0.50CHF per copy.

In addition, the above pricing is based on a typical situation, unless otherwise noted. Each case varies. Additional page fees, excess claims fees, etc. may apply. Estimates are provided based on a typical situation and so actual costs can vary widely. Further, the above rates are dependent on time availability and may change without notice. Finally, Da Vinci Partners LLC has no control over official fees—these are billed at cost plus, as expenses.

By engaging us, the client expressly agrees to the above fee schedule and terms, that Swiss law and the exclusive jurisdiction of Swiss courts applies regarding any disputes that arise from the relationship.

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